

G 3734  
Patent  
247/062

A3200  
08-07-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Tsugita and Maahs

Serial No.: 09/467,293

Filed: December 17, 1999

For: BALLOON OCCLUSION DEVICE  
AND METHODS OF USE



) Group Art Unit    To Be Assigned

) Examiner:        To Be Assigned

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Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents which may be relevant to the subject application. It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the above-identified application, and therefore no fee is required. Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

CERTIFICATE OF MAILING (37 C.F.R. §1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

March 14, 2000  
Date of Deposit

*Cynthia B. Pacheco*  
Cynthia B. Pacheco

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied thereagainst as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: March 11, 2000

By: John Kappos  
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ATTY. DOCKET NO.  
247/062SERIAL NO.  
09/467,293LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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PATENT &amp; TRADEMARK OFFICE

APPLICANT:

Tsugita et al.

FILING DATE:

December 17, 1999

GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AI	764,684	9/80	Soviet Union			X
	AJ						
	AK						

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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	AM	
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EXAMINER:  
Gary Jackson

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include a copy of this form with next  
communication to applicant